GFSI Assessment Report

Application information

Assessment team and date

Certification programme / Standard* Name(s)	**Seafood Processing Standard 5.1 – 16 November - 2020**
Certification programme / Standard* owner name and address	**Global Seafood Alliance / 85 New Hampshire Avenue, Portsmouth, NH 03801**
Certification programme / Standard* owner name, email, contact number	**Best Aquaculture Practice / dan.lee@gaalliance.org**
Date of previous application if application	**NA**
Benchmark Leader name and contact details	**Chris Kelly**
GFSI Technical Manager name	**Elisa Carlucci**
Observer's name	**NA**
Interpreter's name (if applicable)	**NA**
Date of this office assessment	**August 2 – 3, 2021**
Language (e.g., English, or other)	**English**

* Cross as appropriate

Scopes including in this application

GFSI Scopes		Scopes applied For
AI	Farming of Animals for Meat/ Milk/ Eggs/ Honey	
AII	Farming of Fish and Seafood	
BI	Farming of Plants (other than grains and pulses)	
BII	Farming of Grains and Pulses	
BIII	Pre-process Handling of plant products	
C0	Animal Conversion	
CI	Processing of perishable animal products	*
CII	Processing of Plant Perishable Products	
CIII	Processing of Animal and Plant Perishable Products (Mixed Products)	
CIV	Processing of Ambient Stable Products	
D	Production of Feed	
E	Catering	
FI	Retail / Wholesale	
FII	Food Broker / Agent	
H	Provision of Food Safety Services	
G	Provision of Storage and Distribution Services	
I	Production of Food Packaging	

JI	Hygienic Design of Food Buildings and Processing Equipment (for building constructors and equipment manufacturers)	
JII	Hygienic Design of Food Buildings and Processing Equipment (for building and equipment users)	
K	Production of (Bio) Chemicals (Additives, Vitamins, Minerals, Bio-cultures, Flavourings, Enzymes and Processing aids)	

EXECUTIVE SUMMARY AND RECOMMENDATION TO THE STEERING COMMITTEE

Executive summary

Global Seafood Alliance is the CPO of a seafood-based Standard for administration and management using seven certification bodies that are accredited to ISO 17065. The Standard describes the necessary process require for safe seafood products used for human consumption. A review of the program, the records provided by certification bodies on clients, the competency of the certification body auditors, and the certification process have provided objective evidence to support the validity and accuracy of the program and the ability of the certification bodies retained by the CPO to effectively implement, manage and maintain clients in the Best Aquaculture Program using the Seafood Processing Standard 5.1.

- The GSA has 211 active certificates currently issued through seven certification bodies in over 60 countries.
- Founded in 1997, the Global Seafood Alliance has over 23-year history of promoting responsible aquaculture practices through education, advocacy, and demonstration of safe and effective practices. The team is made of competent individuals in located in the US and England.
- governance: Global Aquaculture "engages stakeholders worldwide who are dedicated to advancing environmentally and socially responsible aquaculture practices.".
- Information in this report is based on a one-day desk review and two-day onsite review.

Recommendation to the GFSI Steering Committee

TBC

RESULTS OF ASSESSMENT AND OFFICE VISIT

Time and location details

	Location	People present GFSI, consultant, CPO. Names and roles	Date and time
Self-assessment review	Remote	GFSI Marie-Claude Quentin, Consultant – Chris Kelly, CPO - Dan Lee, Greg Brown, David Yunker, Keiko Pasterczyk	May 25, 2021
Review calls	NA		
Office visit	Remote	GFSI Elisa Carlucci, Consultant – Chris Kelly. CPO - Dan Lee, Greg Brown, David Yunker, Ashley Hart	August 2 – 3, 2021

Overview

- The GSA has 211 active certificates currently issued through seven certification bodies in over 60 countries.
- Founded in 1997, the Global Seafood Alliance has over 23-year history of promoting responsible aquaculture practices through education, advocacy, and demonstration of safe and effective practices. The team is made of competent individuals in located in the US and England.
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number of certificates, CBs, countries in which they operate

- background and history of the CPO: country, team.
- governance: stakeholders, board.

General compliance, strengths and weaknesses

- The program is in line with the GFSI requirements.
- The two findings were in the area of clarification of the scope and having access to documentation to support the stakeholders and technical expert's credentials.
- The program has history in the industry with full knowledge of success criteria. The existing team is fully competent and cohesive.
- Ensuring that evidence is documented in areas where the due diligence has been done but not recorded.

Changes made to the certification programme / Standard* following the GFSI assessment

- The CPO corrected the out-of-date information in the Seafood Process Standard manual.
- The CPO implemented a requirement to request evidence of stakeholders / technical experts to maintain on file for review.

List of findings

Element reference	Non-conformity	Partly / no	Corrective action	Recommendation from Benchmark leader	Decision from GFSI technical manager
II, 1.8	The records documenting technical competence are not on file for individuals recruited for technical industry experts.	Partly	Updated BAP Process Document from 2.2 to 2.3 and added collection and storage of technical CVs to the Standards Coordinators Job Role. This document has been entered into document control and published on the BAP Website. Screenshot of relevant section and entire document have been provided as evidence.	The corrective action is sufficient to close the issue.	Corrective action plan accepted and implemented
II, 2.13	The CPO reference to the GFSI scope in Table I is incorrect. The document uses EI rather the current language of CI (CB Requirements document 14.8.)	No	Updated BAP CB Requirements Document from Issue 14.8 to 14.9 and changed scope reference from E1 to C1 (perishable animal products). This document has been entered into document control and published on the BAP Website. Screenshot of relevant section and entire document have been provided as evidence.	The corrective action is sufficient to close the issue.	Corrective action plan accepted and implemented

RESULTS OF THE PUBLIC STAKEHOLDER CONSULTATION

TBC

List of findings – public stakeholder consultation

			Answer	Recommendation from Benchmark leader	Decision from GFSI technical manager